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## Determination of the shear wave velocity structure of substations by the HVSR inversion method using broadband and strong ground motion earthquake data in the Lake Van region, eastern Türkiye

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**Abstract.** In this research, the horizontal-to-vertical spectral ratio analysis and the Monte-Carlo inversion algorithm are applied to calculate the substations shear wave velocity structure beneath the Lake Van region. To discover the variation of shear wave velocity, the local earthquakes recorded on 15 strong ground motions and 14 broadband stations, located on dissimilar geological units, are used. The most important aim of this investigation is to reveal the geological structure and bedrock depths beneath each station. For this purpose, two-dimensional cross-sections are generated from velocity models in different directions. The average bedrock depth variation is determined at 10 m and 250 m as a result of the horizontal-to-vertical spectral ratio inversions at the earthquake stations in the region. The deepest bedrock depths are calculated at the earthquake stations close to the settlements in the study area. These depth variations are interpreted as the local site effects of possible destructive and big earthquakes that may be a factor increasing the damage ratio in these regions.

Keywords: spectral ratio; Vs velocity analysis; bedrock depth

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### **INTRODUCTION**

The occurrence of earthquake effects on the ground surface and structural elements corresponds to the combination of site characteristics, source, and path. In addition to the magnitude of the earthquake, site conditions play a critical role in the earthquakes effect on infrastructure. Near-surface soils, which may be stable under static conditions, can cause ground failure under the effect of a dynamic force, such as an earthquake, by influencing the properties of the soil layers through which they pass in various ways (soil amplification, liquefaction, etc.). Furthermore, an earthquake that appears to have no effect above stiff soil can be felt like a strong motion and cause severe damage in specific areas above soft sediments due to the amplification of seismic waves. Understanding the behaviour of soils under static loading and determining the behaviour of soils under dynamic loading, like earthquakes, can lead to significant reductions in structural damage.

Estimating shear wave velocity (Vs) and thickness in a geological structure composed of soft and unconsolidated alluvial units is extremely important for measuring the local site effect caused by a destructive earthquake. Also, the determination of shear wave velocities is a starting parameter for soil response analysis and engineering applications. Vs is one of the most significant engineering design parameters in soil modelling, particularly in the sediment

layer above the bedrock. Seismic bedrock depth, which must be determined in terms of engineering seismology, seismic risk analysis, acceleration, and evaluation of earthquake effects, is defined by shear wave velocities. Many shallow and deep investigation methods such as seismic refraction, MASW, ReMi, seismic reflection, and SPAC can be used to calculate Vs velocity variation. In addition to these, Vs variation can be generated by the horizontal-tovertical spectral ratio (HVSR) curve inversion process. The HVSR curve inversion is sensitive to velocity contrasts and has numerous advantages, including relatively fast data processing time and low survey costs. In recent years, the use of HVSR techniques for subsurface investigations has increased (Herak 2008; Bignardi et al. 2016).

There are many valuable studies in the literature related to the HVSR algorithm which is a widespread tool used to map resonance frequencies in engineering seismology exploration for constraining shallow geological structures (Nakamura 1989, 2000; Fah et al. 2001; Lermo, Chavez-Garcia 1994; Lachet, Bard 1994). This previous research indicates that when there is a major impedance contrast between the sediments and the bedrock, the HVSR highest value can be used to forecast the predominant resonance frequency of the associated region. By correlating the measured soil resonance frequencies with the thickness of the resonance layer, the bedrock depth can be determined. The first stratigraphic application of the HVSR procedure was proposed by Ibs-von Seht and Wohlenberg (1999). On the other hand, in many previous studies, the relationship between the bedrock depth and the predominant frequency has been carried out (Parolai et al. 2002; Tün et al. 2016; Büyüksaraç et al. 2022, 2023). Lermo and Chavez-Garcia (1993) demonstrated that the HVSR method can be applied to shear waves, which are the strongest section of earthquake data records. Since then, many studies have been carried out to determine the applicability and restrictions of the HVSR for both ambient noise and earthquakes (Lachet, Bard 1994; Parolai et al. 2002).

This study aims to investigate substation geological structure and bedrock depths at shear wave velocities obtained by using the HVSR analysis of broadband and strong-motion earthquake data. Therefore, the earthquake horizontal-to-vertical spectral ratio (EHVSR) process is used to analyse twenty-two earthquake records from local earthquakes in the Lake Van area recorded by twenty-nine (15 strongmotion, 14 broadband) stations located in different geological formations.

The EHVSR method, proposed by Langston (1977), suppose that the vertical motions are unaffected by local soil conditions and horizontal motions are influenced by underground layers. The method of Langston (1977) was used to determine the geological structure of the crust and mantle from teleseismic earthquake. It has been stated by different researchers that EHVSR analysis can be used to identify the possible structural damage caused by devastating and destructive earthquakes without a reference measurement station (Lachet *et al.* 1996; Bard 1998; Lermo, Chavez Garcia 1993, 1994; Nakamura 2000, 2008; Akkaya, Özvan 2019; Pamuk 2019; Pamuk, Özer 2020; Gupta *et al.* 2021; Aydın *et al.* 2022; Akkaya 2023). In addition, according to Langston (1977), this algorithm provides information on local site effects that are independent of the properties of the path and the source.

In this study, substation velocity structure, bedrock depth and soil characteristics in the Lake Van area surrounded by the broadband and accelerometer stations (Table 1) are calculated using the earthquake records greater than the magnitude of 4.5 that occurred between 2011–2021 (Table 2). The earthquake records were collected at the accelerometers managed by the Disaster and Emergency Management Authority of Türkiye (AFAD). The broadband earthquake records were provided by the AFAD and the Kandilli Observatory and Earthquake Research Institute (KOERI) stations (Table 1). At each station, the predominant periods of the soil and the EHVSR amplitude value were determined. Substation Vs velocity changes with depth-dependent and bedrock depths were also calculated using one-dimensional inversion models. The tectonic structure, seismicity, and engineering properties of the region are evaluated with the 2-D cross-sections created by the velocity models.

### **REGIONAL GEOLOGY AND TECTONICS**

The Lake Van region is composed of various kind of rock units and alluvial units. The region is made up of three widespread geological units: Palaeozoic metamorphic rocks and the Upper Cretaceous ophiolites, the volcanic rocks, and the Miocene and Quaternary alluvium. The most common geological units on the eastern side of the Lake Van basin are alluvial deposits and lake sediments. The Lake Van basin was formed by the evolution of continental collision between the Arabian and Eurasian mega plates and affected the regional tectonic activity. The region was formed as a result of the thickening, shortening, and uplift of the East Anatolian crust (Sengör, Kidd 1979; Saroğlu, Yılmaz 1986; Koçyiğit et al. 2001) due to the influence of the continental collision that started in the Late Miocene (Fig. 1). The final shape of the region with the volcanism was effective in the Quaternary period. The north-south directional compressional tectonic properties in the Eastern Anatolian region controls to the northwest and northeast trend-

| Station Code | Name     | Station Location | Longitude | Latitude | Elevation | Number of records |
|--------------|----------|------------------|-----------|----------|-----------|-------------------|
| 6501         | Afad_SM  | Van              | 43.401    | 38.503   | 1745      | 13                |
| 6503         | Afad_SM  | Muradiye         | 43.763    | 38.990   | 1712      | 9                 |
| 6505         | Afad_SM  | Çaldıran         | 43.901    | 39.135   | 2043      | 6                 |
| 6506         | Afad_SM  | Erciş            | 43.337    | 39.019   | 1681      | 6                 |
| 6507         | Afad_SM  | Gevaş            | 43.119    | 38.296   | 1696      | 7                 |
| 6508         | Afad_SM  | Özalp            | 43.976    | 38.657   | 1994      | 8                 |
| 6510         | Afad_SM  | Edremit          | 43.268    | 38.414   | 1850      | 7                 |
| 6512         | Afad_SM  | Muradiye         | 43.762    | 38.989   | 1712      | 2                 |
| 6513         | Afad_SM  | Edremit          | 43.268    | 38.414   | 1850      | 4                 |
| 6514         | Afad_SM  | Gürpınar         | 43.408    | 38.322   | 1787      | 4                 |
| 1302         | Afad_SM  | Bitlis           | 42.159    | 38.474   | 1794      | 13                |
| 1303         | Afad_SM  | Adilcevaz        | 42.763    | 38.799   | 1678      | 3                 |
| 1304         | Afad_SM  | Tatvan           | 42.280    | 38.503   | 1676      | 4                 |
| 1305         | Afad_SM  | Güroymak         | 42.021    | 38.580   | 1307      | 4                 |
| 1306         | Afad_SM  | Ahlat            | 42.489    | 38.755   | 1715      | 4                 |
| VANB         | KOERI_BB | Van              | 43.405    | 38.509   | 1627      | 11                |
| AKDM         | KOERI_BB | Akdamar          | 42.980    | 38.328   | 1662      | 6                 |
| CLDR         | KOERI_BB | Çaldıran         | 43.917    | 39.144   | 2094      | 10                |
| ERCV         | KOERI_BB | Erciş            | 43.338    | 39.019   | 1697      | 10                |
| GURO         | KOERI_BB | Güroymak         | 42.032    | 38.550   | 1388      | 6                 |
| MLAZ         | KOERI_BB | Malzagirt        | 42.549    | 39.141   | 1581      | 5                 |
| AGRB         | KOERI_BB | Ağrı             | 42.992    | 39.575   | 1820      | 13                |
| VRTB         | KOERI_BB | Varto            | 41.455    | 39.160   | 1498      | 10                |
| BLIS         | AFAD_BB  | Bitlis           | 42.115    | 38.414   | 1608      | 3                 |
| GEVA         | AFAD_BB  | Gevaş            | 43.058    | 38.312   | 1672      | 14                |
| ADCV         | AFAD_BB  | Adilcevaz        | 42.724    | 38.808   | 1774      | 8                 |
| TVAN         | AFAD_BB  | Van              | 43.404    | 38.523   | 1970      | 9                 |
| VMUR         | AFAD_BB  | Muradiye         | 43.571    | 38.989   | 1717      | 12                |
| OZAP         | AFAD_BB  | Özalp            | 43.992    | 38.661   | 2058      | 3                 |

 Table 1
 Information on broadband and strong motion stations used in the study

ing strike-slip faults, east-west trending thrusts faults, and north-south trending normal faults (Koçyiğit et al. 2001; Kocyiğit 2013; Toker et al. 2017a, b; Sengul et al. 2019; Özer et al. 2022; Alkan et al. 2023). In addition, north-south oriented opening cracks control the eruption centres of volcanoes and east-west axis folds developed perpendicular to this compression (Şaroğlu, Yılmaz 1986). Strike-slip and thrust characteristics faults are the dominant tectonic forms such as the Van, Özalp, Alaköy, and Yenikösk thrust fault, Çaldıran, Erciş-Kocapınar, Başkale, Çolpan, and Gürpınar strike-slip fault zone (Koçviğit 2013; Utkucu 2013; Akkaya 2015, 2020a, b; Akkaya et al. 2015; Sengul et al. 2019; Akkaya, Özvan 2019; Alkan, Akkaya 2023). Due to the tectonic movement in the Lake Van region, numerous destructive earthquakes have occurred in both instrumental and historical periods (Fig. 1). The most important ones are the 1976 Çaldıran earthquake ( $M_{e} = 7.3$ ), 2011 Van earthquake ( $M_w = 7.1$ ), 2020 Khoy-Başkale earthquake ( $M_w = 5.9$ ), and the Saray earthquake  $(M_{w} = 5.4)$  in 2020 (Özvan *et al.* 2005; Tan *et al.* 2008; Akkaya 2015; Akkaya et al. 2018; Sengul et al. 2019; Akkaya, Özvan 2019; Alkan et al. 2020).

#### **PROCESSING OF THE EARTHQUAKE DATA**

During the period from October 2011 to October 2021, 22 earthquakes with magnitude  $4.5 < M_w$  were recorded in the twenty-nine permanent seismic stations (14 broadband stations, 15 strong-motion stations) in the study region (Fig. 1 and Table 1). The earthquake records were retrieved from the AFAD and European Integrated Data Archive (EIDA), just as the ground motion records were retrieved from the AFAD. The triaxial seismogram waveforms and amplitude spectra of the earthquake ( $M_w = 5.4$ ) occurred on 25 June 2020 at 10:03 pm (UTC) and in the southeast from Van city are shown in Figs 2, S1 and S2. The strong motion waveform for station 6505 is shown in Fig. 2, while the broadband waveforms for stations CLDR and TVAN are presented in Figs S1 and S2 (see details in the supplementary file). Demonstrating the efficacy of earthquake and ground motion records, the selected acceleration recordings, velocity-time histories and their Fourier amplitude spectra are compared in the time and frequency domain. The Fourier spectra of each component show the presence of low-frequency waves (0.1–40 Hz) and their big amplification factor at



**Fig. 1** (a) The main tectonic elements in and around Turkey (obtained from Alkan *et al.* 2021). The study region is shown in a white rectangular frame. Abbreviations: WAGS: West Anatolian Graben System; EAFZ: East Anatolian Fault Zone; NAFZ: North Anatolian Fault Zone; NEAFZ: Northeast Anatolian Fault Zone; BZSZ: Bitlis-Zagros Suture Zone; DSFZ: Dead Sea Fault Zone. (b) The seismicity of the study region. For the map, earthquakes with a magnitude greater than 4.0 between 1900 and 2021 are used. The catalogue information of earthquakes is taken from KOERI. The regional fault structures were modified from Emre *et al.* (2018). (c) AFAD and KOERI station distributions and the locations of the earthquakes for the analysis



**Fig. 2** An example of the triaxial seismogram waveform (E–W, N–S, and vertical) and amplitude spectra for the Van-Saray earthquake ( $44.02^{\circ}$ N,  $38.47^{\circ}$ E, M<sub>w</sub> = 5.4, and h = 7.48 km), which occurred on 25 June 2020 and was recorded at AFAD strong motion station 6505

the area (Fig. 2). In broadband station recordings, the presence and amplification of high-frequency (>10 Hz) waves can be seen (Figs S1 and S2).

When assessing the EHVSR spectra, the S-wave part of each earthquake or the whole earthquake data was manually selected to calculate the Fourier amplitude spectrum (FAS) of components (NS, EW, Z) obtained at each station. At each earthquake station site, a trend analysis was applied to data for the removing base-line correction from the raw data. For signal stabilization, the three-component waveform data were digitized at a rate of 100 samples per second (100 Hz). The window length was chosen as the duration of each earthquake to determine local soil effects. Amplitude spectrums were calculated for each select window using the Fourier transform algorithm. The recorded data were filtered using a Butterworth bandpass filter with a frequency range of 0.01–25 Hz. The spectra were smoothed using smoothing parameter 40. And then, the average spectral ratio of components was obtained to reflect the soil characteristics of the station site. And finally, the EHVSR curve with fundamental frequency  $(f_0)$  and highest amplitude values were obtained (Fig. 3, Table 2). The records have been processed using GEOPSY software.

The average EHVSR curves for each station data are displayed in Figs 3, S3 and S4. For the EHVSR curves, the shear-wave windows were taken from the seismograms with the shear-wave signals of maximum time duration. The analysed data demonstrated three shapes of HVSR result curves with low, broad, and clear amplitude HVSR peaks. The HVSR shape is relevant to the impedance contrast, with a sharp peak indicating a high impedance contrast between the overlying soft sediments and the underlying bedrock, according to Bonnefoy-Claudet et al. (2009). In the observed records for each station, amplitudes were generally obtained between 0.5 and 5.0 Hz, except for 6505, TVAN, and ADCV (Figs 3 and S4). Most of the stations (6501, 6503, 6506, 6507, 6508, 6512, 6514, 1303, 1304, VANB, GURO, VRTB, ERCV, and OZAP) are located on alluvial type of geological units known to have weak engineering properties, whereas stations 6505, 1302, 6513, CLDR, BLIS, ADCV, TVAN, VMUR, and GEVA are deployed on the stiff soil and rock. A high-frequency amplitude (>5 Hz) was measured in this station, indicating a thin soft-weak sediment layer laying over the rocks. The curves of 1306 and AKDM showed a flat curve with low amplitude spectra without a described curve peak (Figs 3 and S3). Multiple HVSR peaks (6505, 6507, 6510, 1302, 1303, VANB, AGRB, TVAN, OZAP, and BLIS) were often observed in areas where the local lateral variations due to complex subsurface formations cause peak broadening (Figs 3, S3, and S4, see details in the supplementary file).

#### **EHVSR INVERSION**

Geophysical inversion is a method that can be used to reveal the subsurface distribution of physi-



**Fig. 3** (a) The map shows the locations of the broadband and strong motion stations. (b) The information on the fundamental resonance frequency and amplitude values of the AFAD strong motion stations. Each black curve represents a different earthquake record curve for each station, and the red thick lines show the average EHVSR curves

|    |            | Epicenter Lo-<br>cation |        |                | Magni-<br>tude |       |              | KOERI Broadban |      |      |      |      |      |      |      | А    | FA   | AD I<br>bar | Broa<br>Id | ad-  | AFAD Strong motion |      |      |      |      |      |      |      |      |      |      |      |      |      |              |
|----|------------|-------------------------|--------|----------------|----------------|-------|--------------|----------------|------|------|------|------|------|------|------|------|------|-------------|------------|------|--------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|--------------|
| No | Date       | Long                    | Lat    | Type           | М              | Depth | (cm/<br>sn2) | AKDM           | CLDR | GURO | MLAZ | ERCV | VANB | AGRB | VRTB | TVAN | VMUR | OZAP        | GEVA       | ADCV | 6501               | 6503 | 6505 | 6506 | 6507 | 6508 | 6510 | 6512 | 6513 | 6514 | 1302 | 1303 | 1304 | 1305 | 1306<br>1306 |
| 1  | 25.06.2020 | 44.028                  | 38.472 | $M_{w}$        | 5.4            | 7.48  | 34.12        | x              | x    | x    | x    | x    |      | x    | x    | x    | x    | x           | x          | x x  | x                  |      | x    |      | x    | x    |      | x    | x    | x    | x    |      | x    | x    | x            |
| 2  | 23.02.2020 | 44.502                  | 38.450 | $M_{w}$        | 5.9            | 8.1   | 17.69        | x              |      | x    | x    |      |      |      |      | x    | x    | x           | x          | x x  | x                  |      | x    | x    | x    | x    |      |      | x    | x    | x    | x    | x    | x    | х            |
| 3  | 23.02.2020 | 44.489                  | 38.436 | $M_{w}$        | 5.9            | 14.9  | 15.057       | x              |      | x    | x    |      |      |      |      | x    | x    | x           | x          | x x  | x                  |      | x    | x    | x    | x    |      |      | x    | x    | x    | x    | x    | x    | x            |
| 4  | 23.10.2011 | 43.465                  | 38.689 | $M_{w}$        | 7              | 19.02 | 178.35       |                |      |      |      |      |      |      |      | x    | x    |             | x          |      |                    | x    |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 5  | 14.11.2011 | 43.111                  | 38.725 | $M_{\rm L}$    | 5.1            | 18.75 | 23.27        |                | x    |      |      | x    | x    | x    |      | x    | x    |             | x          | x    | x                  | x    |      |      |      |      | x    |      |      |      | x    |      |      |      |              |
| 6  | 30.11.2011 | 43.294                  | 38.474 | $M_{\rm L}$    | 5              | 14.76 | 104.75       |                | x    |      |      |      |      |      |      | x    | x    |             | x          | x    | x                  | x    |      | x    | x    | x    |      |      |      |      |      |      |      |      |              |
| 7  | 23.10.2011 | 43.333                  | 38.818 | $M_{\rm L}$    | 5.5            | 17.3  | 38.0         |                |      |      |      |      |      | x    | x    | x    | x    |             | x          |      |                    | x    |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 8  | 23.10.2011 | 43.186                  | 38.640 | $M_{\rm L}$    | 5              | 16.75 | 4.34         |                |      |      |      |      | x    | x    |      |      | x    |             | x          |      |                    |      |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 9  | 23.10.2011 | 43.123                  | 38.654 | M <sub>L</sub> | 5.8            | 13.96 | 20.88        |                |      |      |      |      |      |      | x    |      |      |             | x          |      |                    |      |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 10 | 24.10.2011 | 43.147                  | 38.693 | M <sub>L</sub> | 4.8            | 18.71 | 13.09        |                |      |      |      |      | x    | x    | x    |      |      |             | x          |      | x                  |      |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 11 | 25.10.2011 | 43.594                  | 38.829 | $M_{L}$        | 5.5            | 18.28 | 18.71        |                |      |      |      |      |      |      |      |      | x    |             | x          |      | x                  |      |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 12 | 09.11.2011 | 43.263                  | 38.447 | $M_{L}$        | 5.6            | 6.09  | 245.9        |                | x    |      |      | x    |      | x    |      |      | x    |             | x          | x    | x                  | x    |      |      |      |      | x    |      |      |      | x    |      |      |      |              |
| 13 | 08.11.2011 | 43.108                  | 38.706 | $M_{L}$        | 5.4            | 6.9   | 19.5         |                | x    |      |      | x    | x    | x    |      | x    | x    |             | x          | x    | x                  | x    |      |      |      |      | x    |      |      |      |      |      |      |      |              |
| 14 | 26.03.2012 | 42.276                  | 39.234 | $M_{\rm L}$    | 5              | 17.0  | 58.5         |                | x    | x    |      |      |      |      |      | x    | x    |             | x          | x    |                    |      |      |      |      |      |      |      |      |      |      | x    |      |      |              |
| 15 | 29.10.2015 | 43.743                  | 39.119 | $M_{\rm L}$    | 4.8            | 4.9   | 77.36        | x              | x    | x    | x    | x    | x    | x    | x    |      |      |             |            |      |                    |      | x    | x    | x    | x    |      | x    |      |      |      |      |      |      |              |
| 16 | 03.04.2020 | 43.529                  | 38.909 | $M_{W}$        | 4.7            | 12.99 | 4.13         | x              |      | x    | x    | x    |      | x    | x    |      |      |             |            |      | x                  |      |      | x    | x    | x    |      |      | x    | x    | x    |      | x    | x    | x            |
| 17 | 24.10.2011 | 43.532                  | 38.686 | M <sub>L</sub> | 4.7            | 18.89 | 6.96         |                |      |      |      |      | x    |      |      |      |      |             |            |      | x                  |      |      |      |      |      |      |      |      |      | x    |      |      |      |              |
| 18 | 02.11.2011 | 43.530                  | 38.843 | M <sub>L</sub> | 4.8            | 21.71 | 5.5          |                | x    |      |      | x    | x    |      | x    |      |      |             |            |      | x                  | x    |      |      |      |      | x    |      |      |      |      |      |      |      |              |
| 19 | 12.11.2011 | 43.177                  | 38.632 | M <sub>L</sub> | 4.5            | 18.9  | 3.99         |                | x    |      |      | x    | x    | x    | x    |      |      |             |            |      | x                  | x    |      | x    |      |      | x    |      |      |      |      |      |      |      |              |
| 20 | 14.11.2011 | 43.075                  | 38.624 | $M_{\rm L}$    | 4.7            | 18.98 | 2.2          |                |      |      |      | x    | x    | x    |      |      |      |             |            |      |                    |      |      |      |      |      | x    |      |      |      |      |      |      |      |              |
| 21 | 18.11.2011 | 43.852                  | 38.802 | $M_{\rm L}$    | 5.2            | 8.0   | 9.26         |                | x    |      |      | x    | x    | x    | x    |      |      |             |            |      |                    | x    | x    |      |      | x    | x    |      |      |      |      |      |      |      |              |
| 22 | 06.12.2011 | 43.616                  | 38.833 | M <sub>L</sub> | 4.7            | 15.36 | 7.41         |                |      |      |      |      | x    | x    | x    |      |      |             |            |      |                    |      | x    | x    |      | x    |      |      |      |      |      |      |      |      |              |

 Table 2 Locations and parameters of selected earthquakes and stations

cal property from data collected in any field. In other words, the inversion is the calculation of mathematical model parameters that allow us to determine these parameters from the data. The EHVSR method does not provide the Vs velocity structure directly; however, it can be derived by the numerical modelling of the spectral ratio result curve. In the EHVSR technique, the inversion data procedure can be used to recognize the Vs velocity value by minimizing the objective function, namely the difference between calculated and observed data. Many studies have been conducted to reliably identify Vs velocity models and the inversion of EHVSR curves (Herak 2008; Sanchez-Sesma et al. 2011; Bignardi et al. 2016, 2018). Inversion plays a critical role in modelling EHVSR curves to understand the response of complex subsurface geology, particularly when the near-surface is in a multilayered sedimentary structure (Bignardi et al. 2016, 2018).

The ModelHVSR software (Herak 2008) is a useful software to determine the 1-D distribution of

underground elastic properties by the inversion of EHVSR result curves. The OpenHVSR open-source software (Bignardi et al. 2018) is widely used to calculate two- and three-dimensional underground models. In this study, OpenHVSR was used for the inversion of EHVSR curve for deriving Vs of subsurface (Bignardi et al. 2018). The algorithm uses a guided Monte-Carlo inversion (GMCI) method, in which the Vs velocity model modified in an initial model search space depends on the misfit function between the observed and synthetic curves (Herak 2008; Bignardi et al. 2018). Thus, sufficient control is provided to constrain discrete sections of the curves. The error function is composed of three terms, such as the regularization term, the misfit function between the data and the synthetic, and the slope term (Bignardi et al. 2016).

In the inversion of the EHVSR curves, the initial models were created to investigate the values of the subsurface elastic parameters in a wide range and were performed using OpenHVSR software (Big-

| Compressional<br>Wave Velocity,<br>Vp (m/s) | Shear Wave Velo-<br>city,<br>Vs (m/s) | Density<br>ρ (kg/m <sup>3</sup> ) | Thickness<br>h (m) | Quality factors with<br>P-velocity<br>Qp | Quality factors with<br>S-velocity<br>Qs |
|---|---------------------------------------|-----------------------------------|--------------------|--|--|
| 1730  | 1000                                  | 1.95                              | 10                 | 10                                       | 5  |
| 1730  | 1000                                  | 1.95                              | 10                 | 10                                       | 5  |
| 1730  | 1000                                  | 1.95                              | 30                 | 15                                       | 10                                       |
| 1730  | 1000                                  | 1.95                              | 30                 | 15                                       | 10                                       |
| 1730  | 1000                                  | 1.95                              | 50                 | 30                                       | 20                                       |
| 1730  | 1000                                  | 1.95                              | 50                 | 30                                       | 20                                       |
| 1730  | 1000                                  | 1.95                              | 100                | 40                                       | 30                                       |
| 1730  | 1000                                  | 1.95                              | 100                | 40                                       | 30                                       |
| 1730  | 1000                                  | 1.95                              | 200                | 40                                       | 30                                       |
| 1730  | 1000                                  | 1.95                              | 999                | 999                                      | 999                                      |

Table 3 Initial model parameters used in the EHVSR inversion process



**Fig. 4** The inversion results for the strong motion (6501) and broadband stations (VANB and OZAP). The left panel shows the mean curves and standard deviations, the middle panel shows the inversion results, and the right panel demonstrates the best Vs velocity models

nardi *et al.* 2018). The initial models consisted of n-number of visco-elastic horizontal layers, defined by compressive wave velocity (Vp) and shear wave velocity (Vs), density ( $\rho$ ), thickness (h), and the frequency-dependent corresponding attenuation factors (Qp and Qs) controlled the inelastic characteristics (Aki, Richards 2002; Bignardi *et al.* 2018).

The data inversion procedure also needs model parameters (Vp, Vs,  $\rho$ , h, Qp and Qs) as initial values (see Table 3). Due to the width of the study region and the limited number of shallow borehole (< 50 m)

data, the constant parameter model was used to define the initial values (Table 3). Also, the GMCI algorithm requires several iterations and a standard deviation of random normal to initialize the model parameters (Herak 2008; Bignardi *et al.* 2018). During the inversion, the theoretical response obtained from the initial model is compared to the observed EHVSR results. After each iteration, a new minimum misfit is determined, and then the corresponding subsurface model is set as the reference. After enough investigation of model parameters, the most suitable model can be found (equal to the previous iteration or minimum misfit) according to the assumed wave propagation. Finally, the best fitting model is achieved with many random generations ranging between 10<sup>2</sup> and 10<sup>4</sup>. The last model of inversion process is a 1-D velocity model corresponding between Vs and depth. Figs 4, 5 and S5–S9 show the results of the inversion process in the earthquake station.

The EHVSR inversion results were calculated for all earthquake stations. However, the mean curves, EHVSR inversion model results, and the best Vs velocity models are presented for the eight observation points (Figs 4 and S5–S6, see details in the supplementary file). The black and red curves represent the observed mean HVSR curves and are inverted from the best fit of the Vs velocity models obtained from the GMCI results. The thin black curve lines are plotted to demonstrate standard deviations in the EHVSR curves. The cyan lines display the initial model, and the blue line represents to last run to the inversion (Figs 4 and S5–S6). Beneath each station, 1–D Vs velocity model sections are given in Figs 5 and S7–S9. The solid red lines represent the best models, while the grey lines represent the acceptable model numbers.

One broadband station (ERCV) and four acceleration stations (6501, 6505, 6508, and 6510) operated by AFAD were selected for detailed evaluation. The selected earthquake stations are located in the vicinity of densely populated areas in the region. However, borehole data and near-surface velocity data are not available for the majority of measurement stations in the area. In the available earthquake station geological site investigation, only the borehole data of station 6501, located in the settlement centre of Van, are provided (https://tadas.afad.gov.tr/list-station). The Vs velocity values and borehole logs for other selected stations were obtained from Akkaya and Özvan (2019) and Akkaya *et al.* (2018) from the data in their studies (Fig. 6).



Fig. 5 The results of the optimum Vs velocity models for strong motion stations 6501, 6503, 6505, 6506, 6507, and 6508

For stations ERCV, 6501 and 6508, which are located on the Quaternary aged water saturated and soft alluvium in the settlement areas of Van province and Erciş district, it was found that the Vs velocity values range from 180 to 580 m/s up to a depth of about 30 m. The Vs velocity results at all stations commonly increase with the depth. Sandy, silty and clay type of alluvial deposits are present from the surface to a depth of 30 m in the borehole data from station 6501. The highest amplitudes variety is between 0.5 and 5 Hz in the result spectrum (Fig. 6). In the station 6508, sand, gravel, silty, and clayey type of alluvial units may appear at a depth of almost 30 m. The predominant amplitude values are 1-5 Hz in the result spectrum (Fig. 6). In the borehole log of station ERCV (located in the district of Ercis), there are silty sand, sand, clay, and gravel types of units to a depth of about 30 m. The peak amplitude values vary from 0.5 to 2 Hz in the station spectrum (Fig. 6). The station 6505 is located in the district of Caldıran. At this station, the Vs velocity ranges from 250 to 800 m/s. There is a comparatively high Vs velocity layer at about 10 m depth at this station. The Basalt type volcanic forms in the solid rock feature are found after soft and weak alluvial layers. The peak amplitudes range from 4-10 Hz in the curve (Fig. 6). At station 6510, silty, clayey, and travertine type of units may appear at a depth of almost 20 m. The predominant amplitude changes are 0.5-1 Hz in the spectrum (Fig. 6).

By using the Vs velocity sections obtained from EHVSR inversion, depth versus velocity sections in three different directions (A–A', B–B', and C–C') are created for the east of Lake Van (Figs 7 and S10–S11). These profiles were chosen due to the intense seismicity and active tectonic units that produced large and destructive earthquakes. The 2-D cross-sections of thicknesses and Vs velocities of the main seismic layers were created. The inversion results in topographic sections were correlated with stratigraphic boundaries and laterally interpolated. Accordingly, the subsurface profiles in the region were classified as low-velocity zone (< 400 m/s), high-velocity bedrock ( $\geq$  760 m/s), and seismological bedrock ( $\geq$  1500 m/s) (Figs 7 and S10–S11).

### DISCUSSION

The A–A' cross-section continues southwest to northeast along the east of the Lake Van region (Fig. 7). This cross-section covers a region of about 100 km. The depth-Vs velocity information of the strong ground motion and the broadband stations is shown in Fig. 7. The A–A' depth section is formed



**Fig. 6** Depth dependent Vs velocity cross-sections, borehole log and EHVSR results for the detailed stations 6501, 6505, 6508, 6510, and ERCV



**Fig. 7 (a)** The map showing the direction of the cross-sections and the locations of earthquake stations. (b) The EHVSR curves are obtained from the inversion. (c) The southwest-northeast directional cross-section (A-A') is obtained from substation Vs velocities by inversion of the EHVSR curves. Abbreviations: VTF: Van Thrust Fault, EF: Edremit Fault, EKF: Erciş-Kocapınar Fault, YF: Yeniköşk Fault, ÇF: Çaldıran Fault Zone. Active faults in the region are shown by black lines (Emre *et al.* 2018)

in the direction of intersecting the Van thrust fault, Erciş-Kocapınar fault, and Çaldıran fault zone, which previously caused destructive earthquakes in the region. The low-velocity zone (< 400 m/s), underlying bedrock ( $\geq$  760 m/s), and seismological bedrock ( $\geq$  1500 m/s) can be seen in the 2D depth versus Vs velocity cross-section plotted by using velocity models beneath the stations. Low-velocity layers exhibit different depths intervals throughout the cross-section. The shallow sediment units (< 20 m) are dominant in some regions; it also is observed to sediment thickness of 150–250 m or more from place to place. This low-velocity zone, which is thickly bedded from place to place, is a significant factor, especially in the eastern site of Lake Van, where the settlement areas are formed from the old lake and fluvial sediments. Because, in possible destructive earthquakes, these low-velocity layers may affect the structural elements as factors that increase the earthquake effects. Lowvelocity layers and bedrock depth structures with different depth intervals are extremely important in terms of the engineering seismological properties of geological units (elasticity, strength, stiffness, liquefaction, soil amplification, acceleration, etc.), seismicity, and the effects of earthquakes, as well as the geological characteristics of the environment. The EHVSR curves also confirm the frequency-bedrock depth relationship.

The B–B' and C–C' cross-sections are formed in the northwest-southeast direction of intersecting the Caldıran fault zone, Erciş-Kocapınar fault, and Özalp fault (Figs S10 and S11). In the B-B' profile, the thickness of the sediment showed more lateral variations, ranging from 20 m to about 150 m. The deepest parts of sediment units were located at the end of the southeast site of the cross-section. In this cross-section, the Caldıran fault zone and Özalp fault can be marked clearly (Fig. S10). In the C-C' profile (Fig. S11), thinner sediment units are located in all components of the cross-section. As can be clearly seen in this profile, the high-velocity layers are observed in shallow depths, whilst relatively thinned sediments are viewed at around 10 m depth. The distribution of the Vs velocity is consistent with the topography of two cross-sections and is associated with the predominant frequency of the EHVSR. On the other hand, the EHVSR peaks correspond to sedimentary units.

### CONCLUSIONS

The bedrock depth, one of the most critical parameters in determining engineering seismology investigation and soil-structure interaction studies, can be predicted with high accuracy using the EHVSR method. The depth to bedrock and the location of soil layers may be different behaviour and effect of seismic waves near the surface. In this study, twenty-two earthquakes around the Lake Van basin, recorded by twenty-nine (15 strong ground motion and 14 broadband) stations located in different geological units, are processed using the EHVSR inversion method. The geological structure and bedrock depth beneath the stations are determined from the obtained shear wave models.

As a result of the EHVSR analyses carried out in the study region, relatively low frequency values are defined due to the thickness of alluvial type formations under the earthquake stations, and also the frequency values increase to the different rock units under some earthquake stations. At some stations (6505, 6507, 6510, 1302, 1303, VANB, AGRB, TVAN, OZAP, and BLIS) more than one amplification peak is observed. It has been evaluated that obtaining multiple peaks may be depending on soil structures with more than one strong impedance variation. In general, these stations are located on weak and low-strength alluvial units. Furthermore, there are sub-alluvial rock units at various depth levels at some stations in the study area. The peak value in the EHVSR result spectra is generally chosen as the predominant frequency at these stations. In the study sites with weak soil units and deep alluvium, the amplitudes of the earthquake waves tend to increase, which can lead to high levels of damage in the near-surface soil.

In general, relatively high predominant period and amplification values were calculated due to the water-saturated, thick and weak alluvial units thickness under the earthquake stations in the region. In some regions, the period values decreased depending on the various rock units under the stations. These significant results indicated that the predominant period value is inversely proportional to the stability of the local geological structures and can be used for damage estimation after possible earthquakes.

By using the substation Vs velocity models obtained from the EHVSR inversion results, the velocity cross-sections of depth variations in three different directions are created in the east of Lake Van. The low-velocity zone (< 400 m/s), underlying bedrock  $(\geq 760 \text{ m/s})$ , and seismological bedrock  $(\geq 1500 \text{ m/s})$ were seen in all three cross-sections. Low-velocity layers exhibit different depth intervals throughout the cross-sections. Depending on the Vs velocity variations obtained in the cross-sections, some important fault mechanisms that previously caused large and destructive earthquakes in the region (Van Thrust fault, Erciş-Kocapınar fault, Çaldıran fault, and Özalp fault) can be detected as visible. On the other hand, the EHVSR inversion results demonstrate that the low predominant frequencies reflect a lower Vs structure and deeper sediment distribution, while the high predominant frequencies represent a high Vs structure and thinner sediment distribution. The average bedrock depth changes from 10 and 250 m in the earthquake stations. The deepest bedrock depth is observed beneath stations 6501, 6506, 6507, 6508, 6514, VANB, ERCV, and OZAP.

The EHVSR inversion results can be helpful both for the determination of site amplification effects and for the geometry of seismic bedrock and coverage deposits. In other respect, if the shear wave inversion results are supported by geology and tectonic information, the obtained final models can be contributed to the subsurface velocity models achieved from geophysical methods. Consequently, a significant part of the Lake Van region may be seriously affected by earthquake strong ground motions. The planning of future structures can be based on the amplification value, predominant period, and bedrock depth maps of the area.

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#### **Author Contribution**

**İA** contributed to supervision; **İA** and **HA** contributed to methodology, software, data curation, formal analysis, and resources; **İA** and **HA** contributed to investigation, writing-original draft, writing-review & editing.

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### **Supporting Online Material**

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